

## EAST Search History

## EAST Search History (Prior Art)

| Ref # | Hits | Search Query                          | DBs  | Default Operator | Plurals | Time Stamp          |
|-------|------|---------------------------------------|--|------------------|---------|---------------------|
| S1    | 358  | portfolio with (comply or compliance) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | ON      | 2011/04/29<br>08:16 |
| S2    | 0    | S1 same pie                           | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | ON      | 2011/04/29<br>08:16 |
| S3    | 6    | S1 same chart                         | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | ON      | 2011/04/29<br>08:17 |
| S4    | 2    | ("20050055300").PN.                   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | OFF     | 2011/04/29<br>08:24 |
| S5    | 37   | kochansky\$.in.                       | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | ON      | 2011/04/29<br>08:26 |
| S6    | 11   | S5 and (order\$3 or sort\$3)          | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | ON      | 2011/04/29<br>08:26 |
| S7    | 7    | S5 and (comply or compliance)         | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR               | ON      | 2011/04/29<br>08:27 |
| S8    | 1    | S6 and S7                             | US-PGPUB;  | OR               | ON      | 2011/04/29          |

|     |      |                        |  |    |    |                     |
|-----|------|------------------------|--|----|----|---------------------|
|     |      |                        | USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB              |    |    | 08:27               |
| S9  | 41   | blackrock\$.as.        | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:35 |
| S10 | 3    | S9 and S1              | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:35 |
| S11 | 283  | blackrock              | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:36 |
| S12 | 4    | S11 and S1             | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:36 |
| S13 | 1    | S12 not S10            | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:36 |
| S14 | 5704 | purchas\$3 near5 power | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:53 |
| S15 | 1    | S14 same S1            | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:54 |
| S16 | 11   | S14 and S1             | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;            | OR | ON | 2011/04/29<br>08:54 |

|     |         |   |  |    |    |                     |
|-----|---------|---|--|----|----|---------------------|
|     |         |   | IBM_TDB  |    |    |                     |
| S17 | 9265    | purchas\$3 with power   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:55 |
| S18 | 1       | S17 same S1   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:55 |
| S19 | 14      | S17 and S1  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:55 |
| S20 | 3       | S19 not S16   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:55 |
| S21 | 3242    | (sort\$3 or sortation or order\$3 or<br>priority or prioritized or ascend\$3 or<br>decend\$3 or ascent or decent or<br>gradation or weight\$3) with portfolio   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>08:59 |
| S22 | 102     | S21 same (comply or compliant or<br>compliance)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:00 |
| S23 | 4263976 | (sort\$3 or sortation or order\$3 or<br>high\$3 or low\$3 or order\$3 or filter or<br>arrang\$3 or scor\$3 or grade or rank\$3<br>or weight\$3 or rate or rating or rated<br>or index\$3) with (valu\$3 or valuation<br>or purchas\$3 or transact\$3 or<br>apprais\$2 or price or amount) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:01 |
| S24 | 98      | S22 and S23   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:02 |
| S25 | 57      | S22 same S23  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;                                | OR | ON | 2011/04/29<br>09:02 |

|     |         |  |  |    |    |                     |
|-----|---------|--|--|----|----|---------------------|
|     |         |  | JPO;<br>DERWENT;<br>IBM_TDB  |    |    |                     |
| S26 | 3637866 | (sort\$3 or sortation or high\$3 or low\$3 or scor\$3 or grade or rank\$3 or weight\$3 or rate or rating or rated) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:03 |
| S27 | 33      | S22 same S26   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:04 |
| S28 | 94      | S21 same (comply or compliance)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:07 |
| S29 | 12532   | S26 same (comply or compliance)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:08 |
| S30 | 4650    | S26 with (comply or compliance)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:08 |
| S31 | 93205   | "705".clas.  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:08 |
| S32 | 646     | S31 and S30  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:08 |
| S33 | 47      | S30 same portfolio   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:10 |
| S34 | 44      | S32 and S33  | US-PGPUB;<br>USPAT;  | OR | ON | 2011/04/29<br>09:10 |

|     |    |  |  |    |     |                     |
|-----|----|--|--|----|-----|---------------------|
|     |    |  | USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB                        |    |     |                     |
| S36 | 2  | ("20020174045").PN.  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2011/04/29<br>09:27 |
| S37 | 21 | ("5132899"   "5761442"   "5784696"  <br>"5819238"   "5884287"   "5930774"  <br>"5978778"   "6035286"   "6161099"  <br>"6513019").PN. | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:35 |
| S38 | 21 | ("5138299"   "5761442"   "5784696"  <br>"5819238"   "5884287"   "5930774"  <br>"5978778"   "6035286"   "6161099"  <br>"6513019").PN. | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:36 |
| S39 | 2  | ("20020087451").PN.  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:36 |
| S40 | 15 | ("20020174045"   "20030097324"  <br>"20030149654"   "20030182220"  <br>"6601044"   "7212996"  <br>"7330831").PN.                     | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:36 |
| S41 | 40 | S37 or S38 or S39 or S40   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:36 |
| S42 | 1  | S41 and S22  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:37 |
| S43 | 0  | S41 same portfolio   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>09:38 |

|     |         |   |  |    |    |                     |
|-----|---------|---|--|----|----|---------------------|
| S44 | 24      | S41 and portfolio   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:38 |
| S45 | 3       | S44 and (comply or compliant or compliance)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>09:38 |
| S46 | 25923   | (display\$3 or image or view\$3 or present\$3 or show\$3 or gui or (graphical with interface) or table or report\$3) with (complian\$3 or comply or sec or (security\$3 adj3 exchange) or legal or law or statutory or legislation or agenc\$3) with (rule or parameter or metric or algorithm or formula or factor or criteria or model) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:30 |
| S47 | 465216  | portfolio or ((group or mix or block or pool or cluster\$3 or list) with (stock or share or equit\$3 or bond or (fixed adj1 income)))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:32 |
| S48 | 347     | S46 same S47  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:32 |
| S50 | 173     | S48 and S31   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:33 |
| S51 | 157     | S26 and S50   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:34 |
| S52 | 2753781 | (sort\$3 or sortation or high\$3 or low\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:35 |
| S53 | 145     | S50 and S52   | US-PGPUB;<br>USPAT;<br>USOCR;  | OR | ON | 2011/04/29<br>10:36 |

|     |       |   |  |    |     |                     |
|-----|-------|---|--|----|-----|---------------------|
|     |       |   | FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB                                  |    |     |                     |
| S54 | 85341 | (sort\$3 or sortation or rank\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>10:37 |
| S55 | 49    | S54 and S50   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>10:37 |
| S56 | 21    | S55 and (comply or compliant or compliance)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>10:42 |
| S57 | 3     | ("7006992").PN.   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2011/04/29<br>10:52 |
| S58 | 1     | S57 and (sort\$3 with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount))                 | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>10:53 |
| S59 | 1     | S57 and (sort\$3 or rank\$3)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>10:54 |
| S60 | 71    | "5963910"   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2011/04/29<br>10:55 |
| S61 | 2     | ("5963910").PN.   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2011/04/29<br>10:55 |
| S62 | 57    | ("7006992").URPN.   | USPAT  | OR | ON  | 2011/04/29          |

|     |      |  |  |    |    |                     |
|-----|------|--|--|----|----|---------------------|
|     |      |  |  |    |    | 10:56               |
| S64 | 49   | S62 and (comply or compliant or compliance)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:57 |
| S65 | 3    | S64 and (sort\$3 with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:57 |
| S66 | 5    | S64 and ((sort\$3 or rank\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>10:58 |
| S67 | 2    | S47 and S66  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:00 |
| S68 | 20   | ("20020099586"   "20030125997"   "20040002929"   "20050021360"   "20050060213"   "20050192963"   "20060287888"   "5611052"   "5696907"   "6109368"   "6223143"   "6408953"   "6612382"   "7003439"   "7006992"   "7032689"   "7085696"   "7243735"   "7261167"   "7357196").PN. OR ("7630914").URPN. | US-PGPUB;<br>USPAT;<br>USOCR   | OR | ON | 2011/04/29<br>11:01 |
| S69 | 9    | S68 and S31  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:01 |
| S70 | 60   | ("6202060"   "6223143"   "6421653").PN. OR ("7006992").URPN.   | US-PGPUB;<br>USPAT;<br>USOCR   | OR | ON | 2011/04/29<br>11:05 |
| S71 | 8    | S70 and ((sort\$3 or rank\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:06 |
| S72 | 3916 | 705/36R.ccls.  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;                        | OR | ON | 2011/04/29<br>11:11 |

|     |         |  |  |    |    |                     |
|-----|---------|--|--|----|----|---------------------|
|     |         |  | DERWENT;<br>IBM_TDB  |    |    |                     |
| S73 | 2633    | S72 and (portfolio or ((group or mix or block or pool or cluster\$3 or list) with (stock or share or equit\$3 or bond or (fixed adj1 income))))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:11 |
| S74 | 3119202 | complan\$3 or comply or complies or sec or (security\$3 adj3 exchange) or (((legal or law or statutory or legislation or agenc\$3) near5 (restraint or constraint or requirement or reg or limit or limitation)) or regulation)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:14 |
| S75 | 1482    | S73 and S74  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:14 |
| S76 | 1343    | S75 and (limit or restrict or threshold or (thresh adj2 hold) or bound or ceiling or constraint or limitation or restrict\$3)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:15 |
| S77 | 1067    | S76 and ((sort\$3 or sortation or order\$3 or priority or prioritized or ascend\$3 or decend\$3 or ascent or decent or gradation or weight\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:16 |
| S78 | 1061    | S77 and ((maximum or max or greatest or biggest or largest or maximal or top of highest or high) or (minimum or min or least or smallest or lowest or minimal or bottom))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:19 |
| S79 | 102     | S78 and ((display\$3 or image or view\$3 or present\$3 or show\$3 or gui or (graphical with interface) or table or report\$3) with (complan\$3 or comply or sec or (security\$3 adj3 exchange) or legal or law or statutory or legislation or agenc\$3) with (rule or parameter or metric or algorithm or formula or factor or criteria or model)) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:19 |
| S80 | 3221293 | ((maximum or max or greatest or biggest or largest or maximal or top of highest or high) or (minimum or min or least or smallest or lowest or minimal or bottom)) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:23 |
| S81 | 94      | S79 and S80  | US-PGPUB;  | OR | ON | 2011/04/29          |

|     |    |  |  |    |    |                     |
|-----|----|--|--|----|----|---------------------|
|     |    |  | USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB              |    |    | 11:24               |
| S82 | 53 | S81 and (complan\$3 or comply or complies) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2011/04/29<br>11:34 |

EAST Search History (I nterference)

| Ref # | Hits | Search Query  | DBs                         | Default Operator | Plurals | Time Stamp          |
|-------|------|---|-----------------------------|------------------|---------|---------------------|
| S83   | 3768 | 705/36R.ccls.   | US-PGPUB;<br>USPAT;<br>UPAD | OR               | ON      | 2011/04/29<br>11:50 |
| S84   | 0    | ((rule or ruleset or rulebase or criterion or criteria or limit or parameter or metric or factor or ((logic or argument or truth) near5 condition\$2)) with (complan\$3 or comply or complies or sec or (security\$3 adj3 exchange) or (((legal or law or statutory or legislation or agenc\$3) near5 (restraint or constraint or requirement or reg or limit or limitation)) or regulation)) with (portfolio or ((group or mix or block or pool or cluster\$3 or list) with (stock or share or equit\$3 or bond or (fixed adj1 income)))) and ((limit or restrict or threshold or (thresh adj2 hold) or bound or ceiling or constraint or limitation or restrict\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount)) and (sort\$3 or sortation or order\$3 or priority or prioritized or ascend\$3 or decend\$3 or ascent or decent or gradation or weight\$3 ) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount) with (complan\$3 or comply or complies or sec or (security\$3 adj3 exchange) or (((legal or law or statutory or legislation or agenc\$3) near5 (restraint or constraint or requirement or reg or limit or limitation)) or regulation)) and ((display\$3 or image or view\$3 or present\$3 or show\$3 or gui or (graphical with interface) or table or report\$3) with (complan\$3 or comply or complies or sec or (security\$3 adj3 exchange) or legal or law or statutory or legislation or agenc\$3) with (rule or parameter or metric or algorithm or formula or factor or criteria or model))))).clm. | US-PGPUB;<br>USPAT;<br>UPAD | OR               | ON      | 2011/04/29<br>11:50 |
| S85   | 1    | ((rule or ruleset or rulebase or criterion or criteria or limit or parameter or metric or factor or ((logic or argument or truth) near5 condition\$2)) with (complan\$3 or comply or complies or sec or (security\$3 adj3 exchange) or (((legal or law or statutory or legislation or   | US-PGPUB;<br>USPAT;<br>UPAD | OR               | ON      | 2011/04/29<br>11:51 |

|  |  |  |  |  |
|--|--|--|--|--|
| agenc\$3) near5 (restraint or constraint or requirement or reg or limit or limitation)) or regulation)) with (portfolio or ((group or mix or block or pool or cluster\$3 or list) with (stock or share or equit\$3 or bond or (fixed adj1 income)))) and ((limit or restrict or threshold or (thresh adj2 hold) or bound or ceiling or constraint or limitation or restrict\$3) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount)) and (sort\$3 or sortation or order\$3 or priority or prioritized or ascend\$3 or decend\$3 or ascent or decent or gradation or weight\$3 ) with (valu\$3 or valuation or purchas\$3 or transact\$3 or apprais\$2 or price or amount) with (complan\$3 or comply or complies or sec or (security\$3 adj3 exchange) or (((legal or law or statutory or legislation or agenc\$3) near5 (restraint or constraint or requirement or reg or limit or limitation)) or regulation))).clm. |  |  |  |  |
|--|--|--|--|--|

5/ 4/ 2011 12:08:26 PM  
C:\ Users\ kbartley\ Documents\ EAST\ Workspaces\ 10657535-04292011a.wsp